



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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PATENT APPLICATION  
JAN 13 2003  
TECH CENTER 1600/2900

Applicant(s): Senaldi, Giorgio

Serial No.: 09/931,704

Group Art Unit No.: 1644

Filed: August 16, 2001

Examiner: Huynh, Phuong N.

For: METHODS AND COMPOSITIONS FOR TREATING  
IgE-RELATED DISEASE USING NNT-1 INHIBITORS

Docket No.: A-695

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Under the guidelines of 37 C.F.R. 1.97, applicant submits a copy of each of the references listed on the attached supplemental PTO-1449 (Modified) form for consideration by the Examiner.

Applicant, by the undersigned attorney/agent, certifies that each item contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application.

This supplemental Information Disclosure Statement is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last, but **before** the mailing date of either:

- (1) a final action under § 1.113 or
- (2) a notice of allowance under § 1.311,  
whichever occurs first.

STATEMENT OR FEE

Accompanying this transmittal is:

A ☐ a statement as specified in 37 CFR 1.97(e):

01/10/2003 YPOLITE1 00000017 010519 09931704

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STATEMENT

I, the person(s) signing below state:

- ☐ that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR 1.97(e)(1).

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on the date appearing below.

January 7, 2003

Date

*Carroll Bernou*  
Signature

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 CFR 1.97(e)(2).

OR

- B. ☒ the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under § 1.97(c). (\$180.00).

Applicant requests consideration of this information and passage of the application to issue.

**FEE PAYMENT**

Please charge Deposit Account No. 01-0519 in the amount of \$180.00 (37 CFR 1.97(c)). An original and one copy are enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account No. 01-0519.

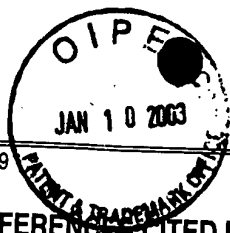
Respectfully submitted,



Karen L. Nicastro  
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Date: January 7, 2003

Please send all future correspondence to:

U.S. Patent Operations/ KLN  
Dept. 4300, M/S 27-4-A  
AMGEN INC.  
One Amgen Center Drive  
Thousand Oaks, California 91320-1799



Modified Form PTO-1449

Sheet 1 Of 1

**LIST OF REFERENCES CITED BY APPLICANT**

(Use several sheets if necessary)

Atty. Docket No.

A-695

Serial No.

09/931.704

Applicant

Senaldi, Giorgio

Filing Date

August 16, 2001

Group

1644

**U.S. PATENT DOCUMENTS**

EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						

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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES	NO
	B2	WO 98/33922	8/6/1998	PCT			X	
	B3	WO 99/00415	1/7/1999	PCT			X	
	B4							
	B5							
	B6							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

C6	Senaldi et al., "Regulatory Effects of Novel Neurotrophin-1/B Cell-Stimulating Factor-3 (Cardiotrophin-Like Cytokine) on B Cell Function" Journal of Immunology (2002) pg 5690-5698
C7	
C8	
C9	
C10	
C11	

EXAMINER:	Date Considered:
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.